

FLORIDA OFFICE OF
FINANCIAL REGULATION

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PROCLAMATION

I, Russell Weigel, Commissioner of the Office of Financial Regulation, by the power vested in the Commissioner through Section 252.62(2)(a)1, Florida Statutes, do hereby acknowledge Governor Ron DeSantis issued Executive Order Number 20-52 on March 9, 2020, declaring a state of emergency in Florida due to Novel Coronavirus Disease 2019 (COVID-19). Pursuant to this Executive Order, and to grant flexibility to the securities industry, I hereby authorize suspension of the notice-filing requirements for branch office locations of dealers, issuer/dealers, and investment advisers in the areas impacted by COVID-19. Should conditions require a dealer, issuer/dealer, or investment adviser to relocate operations or displaced personnel to a temporary location that is not currently notice-filed as a branch office or identified as a regular non-branch location, the dealer, issuer/dealer, or investment adviser should use its best efforts to provide written notification to the OFR, Division of Securities, as soon as possible, but not later than five (5) days, after establishing a new temporary office or space-sharing arrangement due to this emergency. Written notification may be sent by electronic mail to OFRSecurities@flofr.com. The notice should include the following information:

- the temporary office address,
- the entities involved,
- the names of registered personnel working from the temporary address, and
- a contact telephone number.

Dated this 12th day of March, 2020.





Commissioner
Florida Office of Financial Regulation